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Date of Deposit: October 2, 2001

Attorney Docket No. 15966-703 (Cura-203)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Padigaru et al.

SERIAL NUMBER: 09/800,321

EXAMINER: Not Yet Assigned

FILING DATE: March 5, 2001

ART UNIT: 1645

FOR: Novel Proteins and Nucleic Acids Encoding Same

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

In response to the Notice to File Missing Parts mailed July 17, 2001, transmitted herewith for filing in the present application are the following documents:

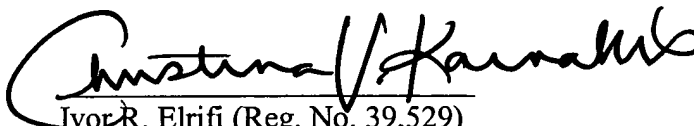
1. Response to Notice to File Missing Parts (1 pg.);
2. Copy of Notice to File Missing Parts (2 pgs.);
3. Combined Declaration and Power of Attorney (4 pgs.; 5 counterparts);
4. Check # 10391 for \$65.00;
5. Check # 10390 for \$55.00;
6. Petition for One-Month Extension of Time (1 pg);
7. Computer Readable Form (1 disk);
8. Sequence Listing (90 pgs.);
9. Statement in Support of Computer Readable Form (1 pg.);
10. Substitute specification (174 pgs.);
11. Statement in Support of Substitute Specification (1 pg.);
12. Preliminary Amendment (2 pgs.); and
13. Return Postcard.

Although Applicants believe that no additional fees are due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311 (Reference No. 15966-703; Cura-203). A duplicate of this Transmittal is enclosed herewith.

APPLICANTS:  
U.S.S.N.:

Padigara, et al.  
09/800,321

Respectfully submitted,



Ivor R. Elrifi (Reg. No. 39,529)

Christina Karnakis (Reg. No. 45,899)

Attorneys for the Applicant

c/o MINTZ, LEVIN

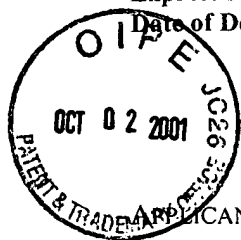
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TRA 1572275v1



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STATEMENT IN SUPPORT OF SUBSTITUTE SPECIFICATION

Pursuant to 37 CFR 1.125(b)(1), I hereby state that the content of the substitute specification and the specification filed on March 5, 2001 are the same. Applicants hereby submit this substitute specification in response to the Notice to File Corrected Application Papers mailed on July 17, 2001, which specifically requested a substitute specification in compliance with 37 CFR 1.52. The correction of the margins is the only amendment made to the specification at this time. Thus, this submission includes no new matter.

Applicants believe that no fee is required for the filing of the present submission. However, the Commissioner is hereby authorized to charge any additional fees that may be due, or credit any overpayment, to Deposit Account No. 50-0311, Ref. No. 15966-703 (Cura-203).

Respectfully submitted,

Ivor R. Elrifi (Reg. No. 39,529)

Christina Karnakis (Reg. No. 45,899)

Attorneys for the Applicant

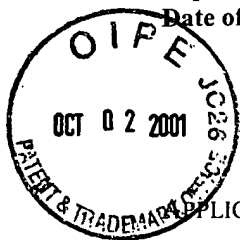
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RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice To File Missing Parts mailed July 17, 2001, Applicants submit herein a copy of the Notice to File Missing Parts; a copy of an executed Combined Declaration and Power of Attorney (in five counterparts); payment of the surcharge fee (\$65.00) set forth in 37 C.F.R. §1.16(e); a substitute specification in compliance with 37 C.F.R. §1.52; a Statement in Support of the Substitute Specification; a paper copy of the Sequence Listing; an identical Computer Readable Form of the Sequence Listing (1 disk); a Statement in Support of the Computer Readable Form; a Petition for a one-month extension of time and required fee; a Preliminary Amendment; and a Return Postcard.

The Commissioner is hereby authorized to charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Attorney Reference No. 15966-703 (Cura-203). Should any questions or issues arise concerning this application, the Examiner is encouraged to contact the undersigned at the telephone number provided below.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Christina Karnakis".

Ivor R. Elrifi (Reg. No. 89,529)

Christina Karnakis (Reg. No. 45,899)

Attorneys for the Applicant

c/o MINTZ, LEVIN

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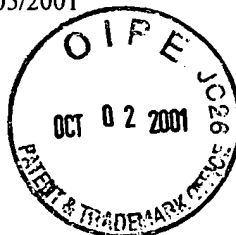


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/800,321	03/05/2001	Muralidhara Padigaru	15966-703 (CURA-203)

MINTZ, LEVIN, COHN, FERRIS,  
GLOVSKY and POPEO, P.C.  
One Financial Center  
Boston, MA 02111



CONFIRMATION NO. 2997

FORMALITIES LETTER



\*OC000000006305377\*

Date Mailed: 07/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes

no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

*Ahmad*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

703-308-1202